

10/42/01  
JC903 U.S. PRO

04-25-01

PTO/SB/05 (11-00)

Please type a plus sign (+) in this box → +

ed for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. Mo-6025/LeA 34,439

First Inventor Werner Obrecht et al

Title GEL-CONTAINING RUBBER COMPOUNDS FOR TIRE ...

Express Mail Label No. EK633383304US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 25]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets ]
5. Oath or Declaration [ Total Pages 2 ]
- a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part(CIP)

of prior application No. /

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is omitted from the submitted application parts.

19.  **E ADDRESS** Customer Number or Bar Code Label00157  
(Insert Customer No. or Attach bar code label here)  
PATENT TRADEMARK OFFICE

or

Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Noland J. Cheung	Registration No. (Attorney/Agent)	39,138
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC903 U.S. PRO  
09/841462

04/24/01

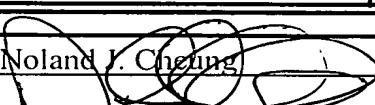
# FEE TRANSMITTAL

## for FY 2000

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.*

TOTAL AMOUNT OF PAYMENT (\$ 750.00

Complete if Known	
Application Number	To be Assigned
Filing Date	Herewith
First Named Inventor	Werner Obrecht et al
Examiner Name	--
Group / Art Unit	--
Attorney Docket No.	Mo-6025/LeA 34,439

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <b>13-3848</b> Deposit Account Name <b>Bayer Corporation</b> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet.</td><td>0.00</td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td>0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116</td><td>380</td><td>216 190 Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117</td><td>870</td><td>217 435 Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218 680 Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228 925 Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119</td><td>300</td><td>219 150 Notice of Appeal</td><td>0.00</td></tr> <tr><td>120</td><td>300</td><td>220 150 Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121</td><td>260</td><td>221 130 Request for oral hearing</td><td>0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141</td><td>1,210</td><td>241 605 Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142</td><td>1,210</td><td>242 605 Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143</td><td>430</td><td>243 215 Design issue fee</td><td>0.00</td></tr> <tr><td>144</td><td>580</td><td>244 290 Plant issue fee</td><td>0.00</td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td>0.00</td></tr> <tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td>0.00</td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146</td><td>690</td><td>246 345 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149</td><td>690</td><td>249 345 For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="2">Other fee (specify) _____</td><td colspan="2">0.00</td></tr> <tr><td colspan="2">Other fee (specify) _____</td><td colspan="2">0.00</td></tr> <tr> <td colspan="2">SUBTOTAL (1) (\$ 0.00</td> <td colspan="2">SUBTOTAL (3) (\$ 40.00</td> </tr> <tr> <td colspan="4">           Reduced by Basic Filing Fee Paid         </td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	0.00	127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	0.00	139	130	139 130 Non-English specification	0.00	147	2,520	147 2,520 For filing a request for reexamination	0.00	112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00	113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00	115	110	215 55 Extension for reply within first month	0.00	116	380	216 190 Extension for reply within second month	0.00	117	870	217 435 Extension for reply within third month	0.00	118	1,360	218 680 Extension for reply within fourth month	0.00	128	1,850	228 925 Extension for reply within fifth month	0.00	119	300	219 150 Notice of Appeal	0.00	120	300	220 150 Filing a brief in support of an appeal	0.00	121	260	221 130 Request for oral hearing	0.00	138	1,510	138 1,510 Petition to institute a public use proceeding	0.00	140	110	240 55 Petition to revive - unavoidable	0.00	141	1,210	241 605 Petition to revive - unintentional	0.00	142	1,210	242 605 Utility issue fee (or reissue)	0.00	143	430	243 215 Design issue fee	0.00	144	580	244 290 Plant issue fee	0.00	122	130	122 130 Petitions to the Commissioner	0.00	123	50	123 50 Petitions related to provisional applications	0.00	126	240	126 240 Submission of Information Disclosure Stmt	0.00	581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00	146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____		0.00		Other fee (specify) _____		0.00		SUBTOTAL (1) (\$ 0.00		SUBTOTAL (3) (\$ 40.00		Reduced by Basic Filing Fee Paid			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
105	130	205 65 Surcharge - late filing fee or oath	0.00																																																																																																																												
127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	0.00																																																																																																																												
139	130	139 130 Non-English specification	0.00																																																																																																																												
147	2,520	147 2,520 For filing a request for reexamination	0.00																																																																																																																												
112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00																																																																																																																												
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00																																																																																																																												
115	110	215 55 Extension for reply within first month	0.00																																																																																																																												
116	380	216 190 Extension for reply within second month	0.00																																																																																																																												
117	870	217 435 Extension for reply within third month	0.00																																																																																																																												
118	1,360	218 680 Extension for reply within fourth month	0.00																																																																																																																												
128	1,850	228 925 Extension for reply within fifth month	0.00																																																																																																																												
119	300	219 150 Notice of Appeal	0.00																																																																																																																												
120	300	220 150 Filing a brief in support of an appeal	0.00																																																																																																																												
121	260	221 130 Request for oral hearing	0.00																																																																																																																												
138	1,510	138 1,510 Petition to institute a public use proceeding	0.00																																																																																																																												
140	110	240 55 Petition to revive - unavoidable	0.00																																																																																																																												
141	1,210	241 605 Petition to revive - unintentional	0.00																																																																																																																												
142	1,210	242 605 Utility issue fee (or reissue)	0.00																																																																																																																												
143	430	243 215 Design issue fee	0.00																																																																																																																												
144	580	244 290 Plant issue fee	0.00																																																																																																																												
122	130	122 130 Petitions to the Commissioner	0.00																																																																																																																												
123	50	123 50 Petitions related to provisional applications	0.00																																																																																																																												
126	240	126 240 Submission of Information Disclosure Stmt	0.00																																																																																																																												
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00																																																																																																																												
146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00																																																																																																																												
149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00																																																																																																																												
Other fee (specify) _____		0.00																																																																																																																													
Other fee (specify) _____		0.00																																																																																																																													
SUBTOTAL (1) (\$ 0.00		SUBTOTAL (3) (\$ 40.00																																																																																																																													
Reduced by Basic Filing Fee Paid																																																																																																																															
SUBMITTED BY		Complete (if applicable)																																																																																																																													
Name (Print/Type)	Noland J. Cheung	Registration No. (Attorney/Agent)	39,138																																																																																																																												
Signature		Telephone	412-777-8349																																																																																																																												
Date	April 24, 2001																																																																																																																														

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.